

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Konrad Herrmann et al.

Serial No: 09/830,518

Filing Date:

Title: POLYCYCLIC PYRIMIDINE -2,4 (1H, 3H)- DIONES WITH FUNCTIONALIZED
ALKYL RESIDUES AT THE 1- AND/OR 3 -POSITION(S); METHODS FOR
THEIR SYNTHESIS AND PHARMACEUTICAL PREPARATION

Examiner:

Art Unit:

July 23, 2001

Attorney's Docket No.: NNG201

RECEIVED

TRANSMITTAL LETTER

27 AUG 2001

Hon. Commissioner of Patents and Trademarks**Box: PCT****Washington, D.C. 20231**

SIR:

Transmitted herewith for filing is:

<X> Response to Notification of a Defective Response dated July 23, 2001

() Enclosed is a check to cover the fee in the amount of \$_____.

(X) The applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to any Office Action outstanding in the above captioned matter as necessary to avoid abandonment of the application. Please charge my deposit account No.11-0224 in the amount required to cover the cost of the extension. Any deficiency or overpayment should be charged or credited to the above account.

(X) The Commissioner is hereby authorized to charge any fees under 35 U.S.C. 1.16, and 1.17, after a mailing of a Notice of Allowance under 35 USC 1.18 or any additional fees which may be required during the entire pendency of the application, or credit any overpayment, to Acct. No.11-0224. A duplicate copy of this sheet is enclosed. If and only if account funds should be insufficient, immediately contact our associate, Lisa Zumwalt, at (703)415-0579, who will pay immediately to avoid deprivation of rights.

() Please charge my Account No.11-0224 in the amount of \$_____. A duplicate copy of this sheet is enclosed. A signature or signatures required for the above recited document(s) is (are) provided herebelow. Such signature(s) also provide(s) ratification for any required signature appearing to be defective in the above recited document(s).

Horst M. Kasper, 13 Forest Drive, Warren, N.J.07059

Reg. No. 28,559 Tel.(908)757-2839

CERTIFICATE OF MAILING:I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service, with sufficient postage, as ~~first class~~ mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on24 JUL 2001

Signature:

Date: 24 JUL 2001

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RESPONSE TO THE NOTIFICATION OF A DEFECTIVE RESPONSE

Hon. Commissioner of Patents and Trademarks

Box: PCT

Washington, D.C

SIR:

This is in response to the Notification of a Defective Response mailed on June 28, 2001 setting a period for response of one month, said period expiring on July 28, 2001.

The above-mentioned notice states that "the oath or Declaration of the inventors is not in compliance with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917. A new oath or declaration, properly identifying this application is required. The oath or declaration does not comply with CFR 1.497(a),(b) and (f) in that it: is not executed in

accordance with either 37 CFR 1.66 OR 37 CFR 1.68 (missing inventor Wippich Petra)".

In response to the Notification of a Defective Response the applicants respectfully submit that Petra Wippich is not an inventor of this application and according to the information of the undersigned, erroneously cited when the original PCT application was filed.

Consideration of the present response is respectfully requested.

Respectfully submitted,

Konrad Herrman et al.

By:



Horst M. Kasper, their attorney
13 Forest Drive, Warren, N.J 07059
Tel.: (908) 526-1717; Fax: (908) 526-6977
Reg.: 28,559; Docket No.: NNG201

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